

# **INFORMATION TECHNOLOGY COMMITTEE**

# **ESCB-PKI PROJECT**



# ESCB-PKI REGISTRATION AUTHORITY APPLICATION

PERSONAL CERTIFICATE REQUESTOR'S MANUAL

**VERSION 3.1** 

ECB-Restricted 07-Nov-2024 ECB - Restricted

# TABLE OF CONTENTS

GL	.OSSARY	Y AND ACRONYMS	6
1.	Intro	roduction	7
	1.1.	The ESCB-PKI Website	7
2.	The	e Registration Authority application	8
	2.1.	System requirements	8
	2.2.	Layout	8
	2.3.	Access	10
3.	ESCE	CB-PKI RA: Management of personal certificate requests	11
	3.1.	Search users	12
	3.1.1	.1. User details	14
	3.1.2	.2. Certificate package list	16
	3.1.3	.3. Certificate request list	
	3.1.4	.4. User history	20
	3.2.	Multiple certificate requests	21
	3.3.	Pending request list option	23
	3.4.	Certificates audit	24
	3.5.	Certificate requests audit	26
4.	Mor	re information about ESCB-PKI	

# TABLE OF ILLUSTRATIONS

Figure 1 - ESCB-PKI Website	7
Figure 2 - Production frame	8
Figure 3 - Acceptance frame	9
Figure 4 - Certificate Management	9
Figure 5 - ESCB-PKI Website - Registration Authority Application	10
Figure 6 - Certificate management	11
Figure 7 - Certificates management. Search user option	12
Figure 8 - Organisation user list	12
Figure 9 - User details	14
Figure 10 – New request	14
Figure 11 – Request detail	15
Figure 12 - Certificate list	16
Figure 13 - Certificate details	16
Figure 14 - Certificate activity	17
Figure 15 - Certificate requests list	18
Figure 16 - Certificate request details	19
Figure 17 - Request activity	19
Figure 18 - User activity	20
Figure 19 – Multiple certificate requests	21
Figure 20 – Multiple certificate request detail	22
Figure 21 – Warning to the Personal Certificate Requestor	22
Figure 22 – Multiple certificate request creation confirmation	22
Figure 23 - Pending requests list	23
Figure 24 - Request detail	23
Figure 25 - Search certificates	24
Figure 26 - Certificates list	25
Figure 27 - Search certificate requests	26
Figure 28 - Certificate requests list	27
Figure 29 - ESCB-PKI Website	28

# ECB - Restricted

Project name:	ESCB-PKI
Author:	ESCB-PKI Project team
Document:	ESCB-PKI - RA Application Personal Certificate Requestor's Manual
Version:	3.1
Date of issue:	07.11.2024
Status:	Final
Approved by:	
Distribution:	

# **RELEASE NOTES**

In order to follow the current status of this document, the following matrix is provided. The numbers mentioned in the column "Release number" refer to the current version of the document.

Release number	Status	Date of issue	Revisions
1.0	Final	7.03.2013	Initial version
1.1	Final	15.04.2014	Update of the ESCB-PKI website
2.0	Final	11.09.2018	BdE Revision
3.0	Final	15.11.2021	Compatibility with other browsers
3.1	Final	07.11.2024	Update http links to https

ECB - Restricted

# GLOSSARY AND ACRONYMS

Acronym	Definition
CSR	Certificate Signing Request
ESCB-PKI	European System of Central Banks - Public Key Infrastructure
FAQ	Frequently Asked Questions
PKCS#10	Public Key Cryptographic Standard #10: Certification Request Standard
	Public Key Cryptographic Standard #12: Personal Information Exchange Syntax
PRC5#12	Standard
РКІ	Public Key Infrastructure

# 1. INTRODUCTION

The present document aims at providing information on how to manage certificate requests as the Personal Certificate Requestor with the ESCB-PKI Registration Authority application developed as part of the ESCB-PKI project.

# 1.1. THE ESCB-PKI WEBSITE

From the ESCB-PKI website you can get access to the ESCB-PKI services and find additional information related to certificate management, token management and Public Key Infrastructures.

About ESCB-PKI	Repository	Certificate management	FAQ's	Support
O contraction	and the second se	ESCB-PK		LANCH
Repository	About us		Certificate man	agement
Repository he ESCB-PKI repository contains the ractices Statement and Certificate Polici vocation status information and the uthorities certificates.	About us e Certification ies, as well as of Central Banks () digital signature a services to ESCB the ESCB and als	vers a series of PKI services to the European Syste SCB) community with the aim of dealing with the risk vanced security services - a set strong authenticatio nd encryption - pose to the business. It delivers i shared applications and services meant for users o for those of commercial banks and other extern	Certificate man Direct access to cert management tools certificate subscribers ts G Go Certificate ma	agement rtificate download and lifecycl for Registration Officers an anagement

Figure 1 - ESCB-PKI Website

To access to the ESCB-PKI services, open your web browser and type the following URL address, <u>https://pki.escb.eu/</u>. You will find the following information:

-	About ESCB-PKI	Generic information with regards to the ESCB-PKI services
-	Repository	ESCB-PKI public information: Certificate Practice Statement (CPS) document, Certificate Policy (CP) documents, Certificate Authority (CA) certificates, Certificate Revocation Lists (CRLs), etc.
-	Certificate management	ESCB-PKI Registration Authority application links and related guidelines
_	FAQ	Frequently Asked Questions
-	Support	Software needed to manage ESCB-PKI tokens and utilities to test ESCB-PKI certificates

# 2. THE REGISTRATION AUTHORITY APPLICATION

# 2.1. SYSTEM REQUIREMENTS

The following software is required to use the ESCB Registration Authority application:

- ESCB-PKI Smartcard drivers
- Native application required to manage certificates in a smart card.
- One of the following web extensions of your choice, according to your browser preferences:
  - o Mozilla Firefox ESCB-PKI Certificate Enrollment extension.
  - o Chrome and Edge ESCB-PKI Certificate Enrollment extension.

Instructions on the installation of the aforementioned software are available in the ESCB-PKI User guide - Browser configuration, which may be downloaded from the ESCB-PKI portal support area:

https://pki.escb.eu/epkweb/en/support.html

The following browsers have been thoroughly tested and are therefore recommended:

- Internet Explorer 11
- Google Chrome 94
- Mozilla Firefox 92
- Microsoft Edge 95

**Note.** - "JavaScript" and "Cookies" must be enabled in the web browser for the application to work properly.

# 2.2. LAYOUT

Please be aware that two different ESCB-PKI services environments are available to ESCB-PKI users: acceptance and production. Each environment has a different frame colour so the customer can easily see the difference and use the one that better suits their intended usage; furthermore, the acceptance environment includes the "acceptance" label in the upper right position indicating that the acceptance environment is the one being accessed.



Figure 2 - Production frame

# ECB - Restricted

ESCB-PKI	ESCB Registration Authority	
		ACCEPTANCE
	Figure 3 - Acceptance frame	

After logging in the RA application, the following features are always available to the user:

- A menu on the left frame to facilitate quick access to all available options
- A Logout button in the upper-right corner to end the user session

ESCB-PKI EUROSYSTEM	ESCB Registrati	on Authority	
	Search device		🥭 esinfmpp   Loquut
DEVICES			
Register device		Device data	LUEUU
Search device	Mon Name		
Process pending	Description		
r rocess pending	OrganisationSelect	t organisation	
		Contact person data	
	Name		
	Surname		
	E-mail address(es)		
	Phone number		
			canal device
		l Se	earch device Cancel search

Figure 4 - Certificate Management

# 2.3. ACCESS

In the ESCB-PKI Website click on the *Certificate management* tab. This page contains the list of the ESCB-PKI services available. Click the *Access with certificate* link available in the *Certificate management and other role-based operations* section



Figure 5 - ESCB-PKI Website - Registration Authority Application

# 3. ESCB-PKI RA: MANAGEMENT OF PERSONAL CERTIFICATE REQUESTS

Enter to the ESB-PKI Website and click the *Access with certificate* link available in the *Certificate management and other role-based operations* section. You must use an advanced CAF-compliant certificate (i.e. your ESCB-PKI certificate) to authenticate.

From this option you may:

- Review the list of users pertaining to your organisation which have applied for ESCB-PKI certificates. For every user in the list you may perform the following operations.
  - Check the registered personal data
  - Check the status of certificates and certificate requests
  - o Create a new personal certificate request
- Create certificate requests for many users at once, under certain criteria
- Check your organisation's pending certificate requests for personal certificates
- Review and obtain reports of the personal certificates and certificate requests that have been managed in your organisation.

#### Certificate management menu

ESCB-PKI	ESCB Registration Authority
	🦉 t-esgjorge   Longut,
USERS	Registration Authority
Search User	
Multiple certificate requests	Welcome to the Registration Authority of the ESCB Public Key Infrastructure.
Pending Request	For further information please visit the ESCB-PKI website.
AUDIT	
Certificates	
Certificate requests	

Figure 6 - Certificate management

The following options will be available in the left frame menu:

- Users > Search users To select users from your Central Bank
- Users > Multiple certificate requests To create certificate requests at once for many users
- Users > Pending Request
   To show all personal certificate pending requests from your Central Bank
- Audit > Certificates
   To show the certificates from your Central Bank
- Audit > Certificate Requests To show the certificate requests from your Central Bank

Next sections of this chapter will further develop these menu options.

### 3.1. SEARCH USERS

From the *Search users* option you can find the list of users pertaining to your organisation which have applied for ESCB-PKI certificates. Several filtering criteria can be applied to narrow the search.

Personal Data						
First Name 🔍						
Middle name 🔍						
First surname 🔍						
Second surname 🚇						
National Identity Number 🔍						
Birth Date 🔍						
Birth Place 🔍						
	ESCB Data					
Escb User ID 🔍						
Email address 🔍						
Employee Number 🔍						
Domestic User ID 🔍						
Organisation Select	Select organisation					
Suffix Select 🔍	Select suffix 💌					

Figure 7 - Certificates management. Search user option

### Press the *Search user* button

Detail	♦ Name	Organisation	Email Address	ESCB UID	National Identity Number	Provisional request
۲	Actualizacion Prueba, Belga	Banco de España (ES)	qlorcgx@correo.interno	estestbe	585458934538Y	Ę\$
۲	Administrador, Usuario	Banco de España (ES)	a@a.es	t-esenduser.admin	0000000T	Ę\$
۲	Alta, PruebaAlta1	Banco de España (ES)	qraqpbx@correo.interno	t-esprualt	30345432Y	Ę0
٢	AltaMod, PruebaAlta	Banco de España (ES)	qraqpbx@correo.interno	t-esqrpbx	50129876T	P
۲	APELLIDOS CUATRO, Usuariocuatro	Banco de España (ES)	qaguspx@correo.interno	t-esinfppr4	0000000T	<u>p</u>
٩	APELLIDOS TRES, Usuariotres	Banco de España (ES)	miguelangel.pena@bde.es	t-esinfppr3		<u>p</u>
0	First surname Second surname, First Name Middle Name	Banco de España (ES)	name.surname@bde.es	eslocaluser	0000000T	<u> </u>
٩	Mail, Test	Banco de España (ES)	qlorcgx@correo.interno	testmail		Ę.
٢	Prueba cambio botón, Usuario	Banco de España (ES)	utestboton@mail.com	utestboton	1111	<u>p</u>
٩	SurnameOne SurnameTwo, FirstName MiddleName	Banco de España (ES)	jorge.millan@bde.es	t-esqfirstname	12345678	<u> </u>
۲	Testing, Testing Database	Banco de España (ES)	jorge.millan@bde.es	t-est-esxxurxo		<u>_</u> _

Export XLS Details of search

Figure 8 - Organisation user list

From this list you can:

User Details	
User Details         Certificate Package List         Certificate Request List         User History         Activity information           Personal details         Manage certificates         Manage requests         Manage requests	atio
Figure 9 - User details	

The following operations may be executed:

- Check personal details and request new personal certificates (User Details Tab)
- Check certificates (Certificate Package List Tab)
- Check requests (Certificate Request List Tab)
- Check the activity associated with the user (User History Tab)

# 3.1.1. USER DETAILS

Clicking this tab it displays the user attributes (first name, surname, user-id, etc.) and the information of the organisation he belongs to.

User Details Certificate F	Package List Certificate Request List User History
	Personal Data
Escb User ID	t-esqrpbx
First Name	PruebaAlta
Middle name	
Surname	AltaMod
National Identity Number	50129876T
Birth Date	23/12/2000
Birth Place	
	ESCB Data
Escb User ID	t-esqrpbx
Email Address	jorge.millan@bde.es
Employee Number	123456
Local User	
Domestic UID	qrpbx
Suffix	empresasexternas.bde.es
Organisation	Banco de España (ES)
	New request Back to user list Create a certificate request

Figure 9 - User details

The *New request* button allows creating new certificate requests for the user. When the button is clicked, the "User Certificate Request" screen is shown:

Θ	User	Certificate	Request
---	------	-------------	---------

	User Information
First Name	Millán Rodríguez, Jorge
	Request Information
*Certificate Package Type <b>U</b>	ADMINISTRATOR V
Serial Number 🔍	
*lssuance Type 🛈	O PRESENTIAL O REMOTE
*Operation Type 🕕	C REQUEST EXPIRATION KEYCOMPROMISE SUPERSEDED

Accept Cancel request

Figure 10 – New request

The following information has to be provided to initiate the request. This form is very similar to the IAM form:

- **Certificate Package Type**: Where any of the different types of personal certificate packages may be selected:
  - ADMINISTRATOR
  - ADVANCED, named "token" in the IAM personal certificate request form.
  - MOBILE DEVICE
  - SECURE EMAIL GATEWAY
  - STANDARD, named "software" in the IAM personal certificate request form.
- Serial Number: You may optionally enter the user token serial number.
- **Issuance Type**: Preference for the certificate delivery.
  - PRESENTIAL, named "FACE-TO-FACE" in the IAM personal certificate request form: with the RO support.
  - "REMOTE": without the RO support.
- **Operation type**: choose the reason to request the certificate:
  - REQUEST: this is the first time that a certificate is being request for this user.
  - EXPIRATION: a previous certificate is about to expire. The old certificate will not be revoked.
  - KEY COMPROMISE: a new certificate is required because the private key associated to the previous one has been compromised. The old certificate will be revoked.
  - SUPERSEDED: the previous certificate has to be replaced before the expiration date (e.g. some affiliation data has been modified). The old certificate will not be revoked.

A given user can only have one type of certificate package active at the same time, so it is not possible to request a new certificate if the previous one is not near to its expiration day, unless the "key compromise" or "superseded" options are used.

In the "User Certificate Request" screen, when the "Register request" button is clicked, the certificate request detail is shown:

#### Certificate Package Request Detail

Request detail Request	history
	User Information
Name	Millán Rodríguez, Jorge
	Person who approves the request
Name	Millán Rodríguez, Jorge
E-mail	jorge.millan@bde.es
	Certificate Package Request Detail
Request Number	20180828-00000000000000004218
Certificate Package Type	PERSONAL: MOBILE DEVICE
Request Status	ROPENDING
Issuance Type	PRESENTIAL
Operation Type	REQUEST
Request Date	28/08/2018
	Back to user request list Back to pending request list

Figure 11 – Request detail

# 3.1.2. CERTIFICATE PACKAGE LIST

This tab shows all ESCB-PKI certificates currently associated with the user and the status of these certificates. Possible statuses are:

- Active Certificates are valid
- **Revoked** Certificates cannot be used any more
- **Suspended** Certificates have been temporarily invalidated
- **Damaged** Certificates have been replaced due to damage (e.g. broken token)
- **Renewed** Certificates have been replaced due to expiration

User Deta	ails Certificate Package Lis Certifica	te Request List User Histor	У		
		User Information			
	Name LASTFIVE, Namecinco				
🗊 Ce	rtificate Package List				
	Policy Name	Cryptographic Device	State	Initial Date	Expiration Date
Detail			ACTN/E	09.02.2012	09-02-2015
Detail	ADVANCED_ARCHIVED_ESCB_POLICY	42430F5172A36495	ACTIVE	03-02-2012	



Certificates are grouped into "packages". A certificate package is a collection of certificates defined by a Certificate Policy; for instance, the "*advanced\_archived*" certificate package will contain the following certificates: advanced authentication, advanced signature and advanced encryption (with key recovery) certificates.

Clicking a certificate package you can have access to the certificate details, where no operations are available but checking the certificate package history:

igodol	Certificate	Package	Detail
--------	-------------	---------	--------

Certificate Package Detail	Certificate Package History			
	Certificate P	Package Information		
Policy	PERSONAL: STANDARD			
Token Serial Number				
Request Date	02/04/2018			
Expiration Date	18/03/2021			
Certificate Package Status	ACTIVE			
Certificate List				
Seria	l Number	Template Name	Download	Recover Keys
756d58f2c3e93e3f5ac20d8c	c5cb4525	ESCB STANDARD	<b>L</b>	1 L
				Back to certificate list

#### Figure 13 - Certificate details

# - Certificate Package History

This tab shows the activity associated with this certificate package.

) Certifica	te Package	History					
Certificate Packa	age Detail Ce	ertificate Packa	ige History				
	- Beelense III						
Certificat	te Package Hi	story					
Certificat	te Package Hi	story Date	Priority	Level	Action	Description	
Certificat User t-esinfevg	te Package Hi 09-02-2012	Date 16:55:58	Priority	Level	Action RESULT	Description Suspend user certifi	
Certificat User t-esinfevg t-esinfevg	te Package His 09-02-2012 09-02-2012	Date 16:55:58 16:56:13	Priority NFO NFO	Level HIGH HIGH	Action RESULT REQUEST	Description Suspend user certifi Revoke user certific	

Figure 14 - Certificate activity

# 3.1.3. CERTIFICATE REQUEST LIST

This tab displays all certificate requests that currently belong to the user together with the status of these certificates:

- *Completed* The request has been processed and the certificates have been generated
  - *Cancelled* The request has been cancelled
- **Expired** The request has expired

\_

- RO-Pending The RO shall still process the request
  - User-PendingThe user can generate and download the certificates. The RO has already<br/>handled the request and has allowed a remote download

ser Det	ails Certificate Package List	Certificate Request L	ist User History		
		Userli	nformation		
	Name ESPANOL 0	NE, Fulanito			
Ce	rtificate Request List				
Detail	Certificate Package Type	Request Status	🔶 Request Date	IssuanceType	Operation Type
3	STANDARD	CANCELLED	13-02-2012 15:45:28	PRESENTIAL	REQUEST
۲	ADVANCED	COMPLETED	10-02-2012 08:30:26	PRESENTIAL	KEYCOMPROMISE
۲	ADVANCED	COMPLETED	09-02-2012 12:52:49	PRESENTIAL	KEYCOMPROMISE
3	STANDARD	COMPLETED	08-02-2012 15:11:14	PRESENTIAL	KEYCOMPROMISE
3	ADVANCED	COMPLETED	08-02-2012 14:58:19	PRESENTIAL	KEYCOMPROMISE
3	ADVANCED	COMPLETED	07-02-2012 17:03:48	PRESENTIAL	KEYCOMPROMISE
3	ADVANCED	COMPLETED	07-02-2012 16:55:20	PRESENTIAL	KEYCOMPROMISE
۲	ADVANCED	COMPLETED	07-02-2012 15:59:41	REMOTE	KEYCOMPROMISE
۲	STANDARD	COMPLETED	07-02-2012 15:56:10	PRESENTIAL	KEYCOMPROMISE
٩	STANDARD	COMPLETED	07-02-2012 15:55:10	PRESENTIAL	KEYCOMPROMISE

Figure 15 - Certificate requests list

Clicking the A button the details of the certificate request are displayed, where no operations are available but checking the certificate package request history:

# Certificate Package Request Detail

Request detail	Request	history
		User Information
	Name	Millán Rodríguez, Jorge
		Person who approves the request
	Name	Millán Rodríguez, Jorge
	E-mail	jorge.millan@bde.es
		Certificate Package Request Detail
Reques	st Number	20180828-00000000000000004218
Certificate Pack	kage Type	PERSONAL: MOBILE DEVICE
Requ	est Status	RO PENDING
Issua	ance Type	PRESENTIAL
Opera	ation Type	REQUEST
Req	uest Date	28/08/2018
		Back to user request list Back to pending request list

#### Figure 16 - Certificate request details

Request history

This tab shows the activity associated to this certificate package.

	De sur st bisterer				
quest detail	Request history				
Request	history				
Request	history				
Request	history Date	Priority	Level	Action	Description
Request User t-esinfmpp	history	Priority	Level MEDIUM	Action REQUEST	Description Enable remote downlo
Request User t-esinfmpp t-esinfmpp	history Date 01-02-2012 10:00:51 01-02-2012 10:00:46	Priority INFO INFO	Level MEDIUM MEDIUM	Action REQUEST RESULT	Description Enable remote downlo Load information of
Request	Date 01-02-2012 10:00:51 01-02-2012 10:00:46 01-02-2012 10:00:46	Priority INFO INFO INFO	Level MEDIUM MEDIUM MEDIUM	Action REQUEST RESULT REQUEST	Description Enable remote downlo Load information of Load information of

Figure 17 - Request activity

# 3.1.4. USER HISTORY

Displays all the activity related to the user.

ser Details	Certificate Package List	Certificate Request List	User His	story	
🕽 🛛 User Hi	story				
User		Priority	Level	Action	Description
t-esin fmpp	03-02-2012 15:50:57	INFO	MEDIUM	RESULT	Register certificate
t-esin fmpp	01-02-2012 10:00:51	INFO	MEDIUM	RESULT	Enable remote downlo
t-esin fmpp	01-02-2012 10:00:51	INFO	MEDIUM	REQUEST	Enable remote downlo
t-esin fmpp	01-02-2012 10:00:46	INFO	MEDIUM	RESULT	Load information of
t-esin fmpp	01-02-2012 10:00:46	INFO	MEDIUM	REQUEST	Load information of
iam	01-02-2012 10:00:16	INFO	MEDIUM	RESULT	User certificate pac
t-esin fmpp	01-02-2012 08:16:21	INFO	HIGH	RESULT	Issue ADVANCED certi
t-esin fmpp	01-02-2012 08:16:13	INFO	HIGH	REQUEST	Issue ADVANCED certi
t-esin fmpp	01-02-2012 08:14:12	INFO	MEDIUM	RESULT	Begin ADVANCED certi
t-esin fmpp	01-02-2012 08:14:12	INFO	MEDIUM	REQUEST	Begin ADVANCED certi
t-esin fmpp	01-02-2012 08:14:09	INFO	MEDIUM	RESULT	Load information of
t-esinfmpp	01-02-2012 08:14:08	INFO	MEDIUM	REQUEST	Load information of
t-esin fmpp	01-02-2012 08:14:02	INFO	MEDIUM	RESULT	Register certificate
t-esin fmpp	01-02-2012 08:13:13	INFO	MEDIUM	RESULT	Cancel certificate p
t-esinfmon	01-02-2012 08:13:13	INFO	MEDIUM	REQUEST	Cancel certificate p

Figure 18 - User activity

# 3.2. MULTIPLE CERTIFICATE REQUESTS

From the *Multiple certificate requests* option you can request certificates for many users at once. The following options are available:

#### Search Advance User



Certificate request type, read carefully: -If you select the first option, it will generate certificate request for users with certificates revoked, suspended or expired. -If you select the second option, it will generate certificate request for users without certificates. -If you select the third option, it will generate expiration certificate request.

Certificate Data				
*Organisation 🕕	Banco de España (ES)			
*Certificate package type	PERSONAL: ADMINISTRATOR PERSONAL: ADVANCED WITH STANDARD ENCIPHERMENT PERSONAL: MOBILE DEVICE PERSONAL: NON-ARCHIVED ADVANCED PERSONAL: SECURE EMAIL GATEWAY PERSONAL: STANDARD PERSONAL: NON-ARCHIVED ADVANCED (EXTERNAL) PERSONAL: STANDARD (EXTERNAL)			
	Certificate Requets Data			
	Request certificates for former ESCB-PKI users			

\*Certificate request 

Request certificates for new ESCB-PKI users

Request renewal for expiring certificates

Search Cancel search

#### Figure 19 – Multiple certificate requests

- Request certificates for former ESCB-PKI users will create a certificate request for all users with revoked, suspended or expired certificates matching the organisation and certificate packages selected.
- Request certificates for new ESCB-PKI users will create a certificate request for all users who never have had certificates matching the organisation and certificate packages selected.
- Request renewal for expiring certificates will create a renewal certificate request with operation type EXPIRATION for all users matching the organisation, certificate packages selected and in with their certificates in the near expiration period.

In all cases, no request will be created if the organisation is not allowed to request the selected certificate package type. For instance, requesting PERSONAL: STANDARD certificates for an external organisation will be rejected (in that case, PERSONAL: STANDARD (EXTERNAL) should be selected instead).

Clicking the button *Search* will show the users matching the conditions:

### ECB - Restricted

S	Search Advance User Result					
Detail	♦ Name	Organisation	Email Address	ESCB UID	National Identity Number	
٢	FOR AUDIT, TEST USER	ESDUMMY	87268@empresas-externas.bde.es	t-estestuseraudit	12345678Z	
٢	UID de usuario externo, Prueba validacion	ESDUMMY	miguelangelpenapinon@gmail.com	t-est-esprueba1	0000000T	
			Export XLS Register ma	ssive request De	tails of advance search	

Figure 20 – Multiple certificate request detail

Clicking the button Register massive request will show the warning below:

Mensaje de página web		
?	Your request for the creation of 2 certificate request will be sent to the ESCB-PKI administrators for approval. Do you want to continue?	
	Aceptar Cancelar	

Figure 21 – Warning to the Personal Certificate Requestor

Accepting the warning will create a request for all the users in the previous list. Any request created using this procedure will not be immediately available to be processed neither by the Registration Officer or the user, nor will they be notified yet. These requests will be pending the validation from the ESCB-PKI administrators, whom you may contact with using the service mailbox escbpki@pki.escb.eu.

Finally, a confirmation message is shown when the certificate requests are created:



Figure 22 – Multiple certificate request creation confirmation

# 3.3. PENDING REQUEST LIST OPTION

From the *Pending request list* option you can access to all pending requests for your Central Bank.

Detail	User Name	Organisation Name	
٩	ESPANOL ONE, Fulanito	Banco de España(ES)	20-01-2012 13:33:33
۲	ESPANOL DOS, Fulanito Dos	Banco de España(ES)	20-01-2012 13:23:02

Figure 23 - Pending requests list

Clicking the <a>> button further details of the request will be displayed</a>

Certificate Package Request detail Request f	Certificate Package Request Detail			
	User Information			
Name APELLIDOS TRES, Usuariotres				
	Requestor details			
Requestor name apellido, nombre				
Requestor mail	Requestor mail e-mail @correo.interno			
	Certificate Package Request Detail			
Certificate Package Type	STANDARD			
Request Status	RO PENDING			
Issuance Type	PRESENTIAL			
Operation Type	KEYCOMPROMISE			
Request Date	13/02/2012			
	Remote download Download Terms and conditions Cancel request			

Figure 24 - Request detail

The status of the request can be:

- **RO-Pending** The RO shall process the request
- User-Pending The user can generate and download the certificates. The RO has already handled the request and has allowed a remote download

You may select the following operations:

- Back to user request list
   To go back to the selected user requests list
- Back to pending requests list
   To go back to your organisation pending requests list
- *Request history* Check the activity associated to the request

# 3.4. CERTIFICATES AUDIT

From the *Audit > Certificates* option you can access to the information about the personal certificates issued for your Central Bank.

Search Certificate	•	
	Certificate Data	
Issuance date	From To	
Expiration date	From To	
Subscriber (ESCB userid / Device)		
Subscriber type	ESCB Users Non ESCB Users	
Status	□ Active □ Suspended □ Revoked □ Renewed □ Damaged □ Expired	
Organisation	Banco de España (ES)	
Certificate package type PERSONAL: ADMINISTRATOR PERSONAL: ADMINISTRATOR PROVISIONAL PERSONAL: ADVANCED PROVISIONAL PERSONAL: ADVANCED WITH STANDARD ENCIPHERMENT PERSONAL: ARCHIVED ADVANCED PERSONAL: ARCHIVED QUALIFIED PERSONAL: MOBILE DEVICE PERSONAL: NON-ARCHIVED ADVANCED		
	Certificate events	
Who (ESCB userid)		
What (action)	Status change Certificate issuance	
When	From To	
	Search Cancel search	

Figure 25 - Search certificates

Clicking the Search button shows the certificates that meet the search criteria

### Oertificate list

Detail	Subscriber	Policy Name	State	🔷 Initial Date	Expiration	Date
٢	t-esqjorge	PERSONAL: STANDARD	Active	02-04-2018 13:00:32	18-03-2021 15:50:25	
٢	t-esinfflc	PERSONAL: ADVANCED WITH STANDARD ENCIPHERMENT	Active	23-11-2017 13:06:12	23-11-2020 13:06:12	
٢	t-esqjorge	PERSONAL: ADVANCED PROVISIONAL	Expired	16-10-2017 15:22:33	16-10-2017 23:58:59	
٢	qaguspx	PERSONAL: STANDARD	Active	06-10-2017 07:45:10	06-10-2020 07:45:10	
٢	t-esqjorge	PERSONAL: QUALIFIED WITH STANDARD ENCIPHERMENT TEST	Suspended	11-07-2017 10:21:36	11-07-2020 10:21:36	
٢	t-esqjorge	PERSONAL: ADVANCED WITH STANDARD ENCIPHERMENT	Revoked	11-07-2017 10:17:33	11-07-2020 10:17:33	
٢	t-esqxurxo	PERSONAL: ADVANCED PROVISIONAL	Revoked	16-06-2017 12:34:19	16-06-2017 23:58:59	
٢	t-esqxurxo	PERSONAL: ADVANCED PROVISIONAL	Expired	12-05-2017 10:00:27	14-05-2017 23:58:59	
٢	t-esqrpbx	PERSONAL: ADMINISTRATOR PROVISIONAL	Expired	09-05-2017 12:37:22	09-05-2017 23:58:59	
۲	t-esqrpbx	PERSONAL: ADMINISTRATOR	Active	09-05-2017 12:34:00	09-05-2020 12:34:00	
۲	t-esqrpbx	PERSONAL: SECURE EMAIL GATEWAY	Suspended	09-05-2017 12:32:53	09-05-2020 12:32:53	
٢	qjorgex	PERSONAL: ADMINISTRATOR PROVISIONAL	Expired	09-05-2017 11:44:40	09-05-2017 23:58:59	
٢	qjorgex	PERSONAL: SECURE EMAIL GATEWAY	Active	09-05-2017 11:41:44	09-05-2020 11:41:44	
۲	qjorgex	PERSONAL: MOBILE DEVICE	Revoked	09-05-2017 11:41:16	09-05-2020 11:41:16	
٢	qjorgex	PERSONAL: ADMINISTRATOR	Damaged	09-05-2017 11:40:08	09-05-2020 11:40:08	
٢	qjorgex	PERSONAL: ADMINISTRATOR	Revoked	09-05-2017 11:36:13	09-05-2020 11:36:13	
٢	qjorgex	PERSONAL: SECURE EMAIL GATEWAY	Revoked	09-05-2017 11:35:04	09-05-2020 11:35:04	
٢	qjorgex	PERSONAL: MOBILE DEVICE	Revoked	09-05-2017 11:33:40	09-05-2020 11:33:40	
٢	qjorgex	PERSONAL: SECURE EMAIL GATEWAY	Damaged	09-05-2017 11:30:01	09-05-2020 11:30:01	
٢	qjorgex	PERSONAL: ADMINISTRATOR	Damaged	09-05-2017 11:29:13	09-05-2020 11:29:13	
		1   2   3   4   5   6   7   8			0	٥

ExportXLS Details of Search

#### Figure 26 - Certificates list

The *Export XLS* button generates an Excel document with the details of all the certificates meeting the search criteria.

Click the Solution to see the details of a certificate from the list.

# 3.5. CERTIFICATE REQUESTS AUDIT

From the *Audit > Certificate requests* option you can access to the information about the personal certificate requests generated at your Central Bank.

Search Certificate Request					
	Certificate request data				
Request date	From To To				
Subscriber (ESCB userid / Device)					
Subscriber type	ESCB Users Non ESCB Users				
Status	□ RO Pending □ User Pending □ Administrator Pending □ Completed □ Completed with erro r □ Cancelled □ Expired				
Organisation	Banco de España (ES)				
Request reason	□ Request □ Expiration □ Key compromise □ Superseded				
Certificate package type	Standard Advanced Mobile Device Gateway Administration Shared Mailbox     Provisional				
	Certificate request events				
Who (ESCB userid)					
What (action)	Request creation Request modification Status change Certificate issuance Terms and conditions				
When	From To				
	Searchi Cancel search				

Figure 27 - Search certificate requests

Clicking the Search button shows the certificate requests that meet the search criteria

Detail	Subscriber	Subscriber Type	Certificate Package Type	<b>Request Status</b>	Request Date	Request Reaso
٩	t-esqjorge	ESCB Users	Mobile Device	RO Pending	28-08-2018 12:24:59	Request
٩	t-esqrpbx	ESCB Users	Provisional	RO Pending	23-08-2018 14:35:27	Request
٩	t-esqxurxo	ESCB Users	Advanced	RO Pending	22-08-2018 11:29:36	Request
٩	t-esqxurxo	ESCB Users	Advanced	Cancelled	22-08-2018 11:12:53	Request
٢	t-esxxurxo	ESCB Users	Advanced	RO Pending	17-08-2018 10:05:35	Request
٩	t-esenduser.admin	ESCB Users	Standard	RO Pending	07-08-2018 11:41:11	Key compromise
٢	t-esqrpbx	ESCB Users	Gateway	RO Pending	07-08-2018 11:34:00	Key compromise
٢	t-esxjorge	ESCB Users	Administration	Cancelled	26-07-2018 10:11:52	Superseded
٢	t-esqjorge	ESCB Users	Advanced	RO Pending	04-04-2018 12:54:58	Key compromise
٩	t-esuseriamescb	ESCB Users	Provisional	Cancelled	04-04-2018 12:34:22	Request
٩	t-esqjorge	ESCB Users	Advanced	Cancelled	03-04-2018 12:20:47	Key compromise
٩	t-esqjorge	ESCB Users	Standard	Completed	02-04-2018 12:57:39	Superseded
٢	t-esqrpbx	ESCB Users	Standard	RO Pending	22-03-2018 15:48:12	Request
٢	t-esprualt	ESCB Users	Standard	RO Pending	22-03-2018 15:39:19	Request
٢	t-esinfflc	ESCB Users	Advanced	Completed	23-11-2017 13:02:46	Request
٩	infflc	ESCB Users	Advanced	Cancelled	23-11-2017 12:54:25	Request
٢	t-esqjorge	ESCB Users	Provisional	Completed	16-10-2017 10:20:16	Request
٩	qaguspx	ESCB Users	Standard	Completed	03-10-2017 17:05:43	Request
٢	t-esqjorge	ESCB Users	Advanced	Completed	11-07-2017 10:20:01	Key compromise
٩	t-esqjorge	ESCB Users	Advanced	Completed	11-07-2017 10:16:00	Request
			1   2   3   4   5   6	7   8		00
					Export VIS	Details of Sec

#### Certificate request list

### Figure 28 - Certificate requests list

The *Export XLS* button generates an Excel document with the details of all the certificate requests meeting the search criteria.

Click the *A* button to see the details of a certificate request from the list.

# 4. MORE INFORMATION ABOUT ESCB-PKI

For further information see the ESCB-PKI Website, <u>https://pki.escb.eu/</u> (you may want to bookmark this site for future references). The Frequently Asked Questions (FAQ) section will be your best source of support information.



Figure 29 - ESCB-PKI Website

In the ESCB-PKI Website you will find the following information:

-	About ESCB-PKI	Generic information with regards to the ESCB-PKI services.
-	Repository	ESCB-PKI public information: Certificate Practice Statement (CPS) document, Certificate Policy (CP) documents, Certificate Authority certificates, CRLs, etc.
-	Certificate management	ESCB-PKI Registration Authority tool.
_	FAQ	Frequently asked questions.
-	Support	Software needed to manage ESCB-PKI tokens and utilities to test ESCB-PKI certificates.

**Note**: The last version of this document can be found in the ESCB-PKI Website, along with other ESCB-PKI guides and manuals.